

FOR BANK USE ONLY												
Date	DD / MM / YYYY						BRN					
Account No.												

Entity Tax Residency Self-Certification Form Common Reporting Standard (CRS)

CUSTOMER INSTRUCTIONS

Please read these instructions carefully before completing the form.

All fields are mandatory to be filled. Please fill the form in BLOCK LETTERS.

Introduction

Regulations based on the Organisation for Economic Co-operation and Development's ("OECD") Common Reporting Standard ("CRS") require Bank Saderat Iran ("BSI") to collect and report certain information about an account holder's tax residence. Each Jurisdiction has its own rules for defining tax residence, and Jurisdictions have provided information on how to determine if you are resident in the Jurisdiction on the website: <http://www.oecd.org/tax/automatic-exchange/>

This form is intended to request information consistent with local law requirements. As a financial institution, we are not allowed to give tax advice. For more information you may speak to a professional Tax Advisor/Consultant.

Note: Instructions and definitions, please refer to the "CRS - Summary Descriptions of Select Defined Terms" available on www.banksaderat.ae

Identification of Account Holder

Legal Name of Entity/Branch	
Country of Incorporation	

Entity Type

Please provide the Account Holder's Status by ticking one of the following boxes.

Section 1

- ☐ (a) Financial Institution – Investment Entity
- ☐ i. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
(Note: if ticking this box please also complete **Section 2** below)
- ☐ ii. Other Investment Entity
- ☐ (b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company
- ☐ (c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:

.....

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

.....

- ☐ (d) Active NFE – a Government Entity or Central Bank
- ☐ (e) Active NFE – an International Organisation
- ☐ (f) Active NFE- Non-Profit Entity
- ☐ (g) Active NFE – other than listed above from 1(c) to 1(f)
- ☐ (h) Passive NFE (Note: if ticking this box please also complete **Section 2** below)

Section 2

If you have ticked **1(a)(i)** or **1(h)** above, then please:

- (a) Indicate the name of any Controlling Person(s) of the Account Holder
- (b) Complete "Self-Certification – Controlling Person" for each Controlling Person.

(If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of Senior Managing Official:

Name of Controlling Person	Nationality	% of shareholding (if the controlling person is a shareholder)
1.		
2.		
3.		
4.		

Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

1. Are you a UAE resident for tax purpose? (*If yes, kindly provide a valid trade license, registration certificate issued by the relevant UAE licensing authority)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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Please complete the following table indicating: (i) Where the Account Holder is a tax resident and (ii) The Account Holder's TIN for each country/Reportable Jurisdiction indicated.

If the Account Holder is tax resident in more than three countries, please use a separate sheet.

If a TIN is unavailable, please provide the appropriate reason A, B or C:

- Reason A** - The country/Jurisdiction where the Account Holder is resident does not issue TINs to its residents.
- Reason B** - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).
- Reason C** - No TIN is required. (Note. Only select this reason if the domestic law of the relevant Jurisdiction does not require the collection of the TIN issued by such Jurisdiction).

S.No.	Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason "B" above.

1	
2	
3	

Declaration and Signature

- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I confirm that the information has been provided willingly, without advice or help from the Bank.
- I undertake to submit a suitably updated Form within 30 days of any change in circumstances that affects the entity's tax residency status or where any information contained herein becomes incorrect.
- I hereby authorize the BSI to disclose all information it holds about me or any of my current or future accounts with BSI to UAE regulatory authorities and/or with any other regulatory authorities as required by UAE law.

Full Name:

(Name as per Passport)

Signature :

Date : DD-MM-YYYY

Note: Please indicate the capacity in which you are signing the form. If signing under a power of attorney, please attach the power of attorney (Attested by Competent authority) as well.

Capacity:

(Self, Shareholder, POA, Guarantor)

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Signature(s) verified by	Name	Signature	Date : DD-MM-YYYY
Authorized by	Name	Signature	Date : DD-MM-YYYY